

Dynamical phase transition into quantum chaos in a solvable many-body model

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Chernogolovka, June 28, 2016



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(Weizmann Institute & UC Berkeley)

A. Kitaev's Talk: Sachdev-Ye-Kitaev model

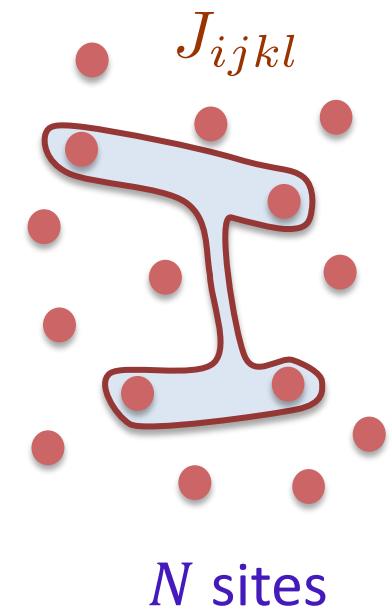
Sachdev & Ye, PRL (1993)
Kitaev, KITP (2015)
Sachdev, PRX (2015)

$$H_{SYK} = \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l - \mu \sum_i^N c_i^\dagger c_i \quad P(J_{ijkl}) \sim e^{-\frac{|J_{ijkl}|^2}{J^2}}$$

- Solvable in strong coupling for large N

- ‘Maximally chaotic’. $\lambda_L = \frac{2\pi}{\beta}$

- Emergent conformal symmetry at low-energy

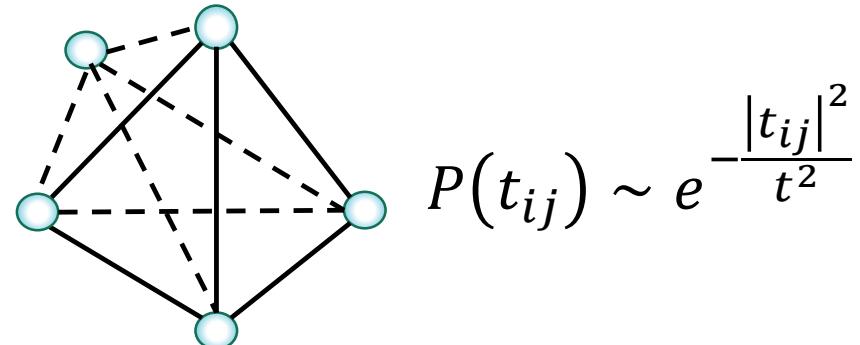


Integrable model for thermalization and quantum chaos.

* A. Kitaev → Solvable model for holography

Contrast with quadratic infinite range model (model for quantum dot)

$$H = \frac{1}{\sqrt{N}} \sum_{ij} t_{ij} c_i^\dagger c_j$$



- Fermions occupying states of a $N \times N$ random matrix.
- No thermalization or chaos in the many-body sense.

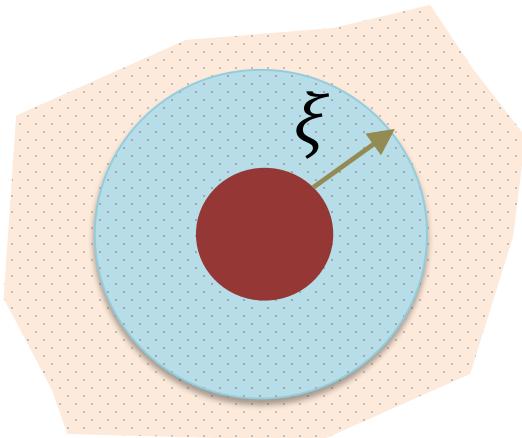
Add weak interaction →

- Fermi liquid state at infinite N . Quasi-particle lifetime $\sim 1/T^2$
- Many body localization at low T for finite N .

Altshuler, Gefen, Kamenev & Levitov, PRL (1997)

This talk: Solvable model with a quantum critical point separating the quadratic and the SYK fixed points.

Physical motivation and connection to many-body localization (MBL)



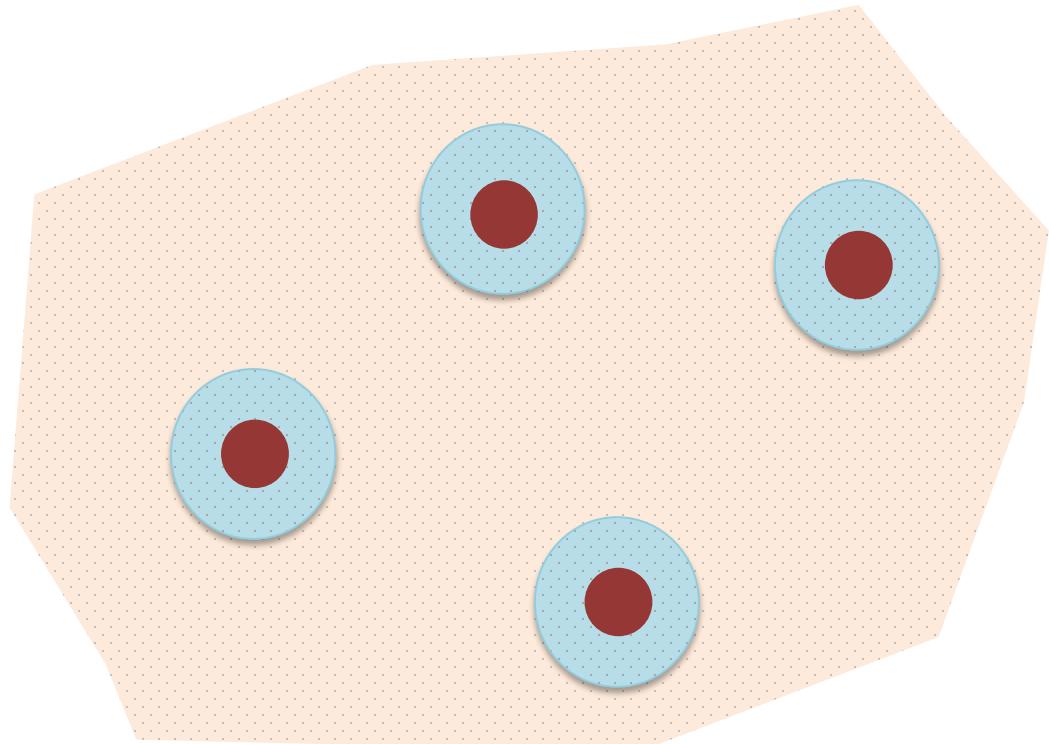
Ergodic ‘bubble’ within insulator

How does the ‘bubble’ affect the insulator and *vice versa*?

* A single ‘bubble’ can destabilize the insulator for $D \geq 2$!!!

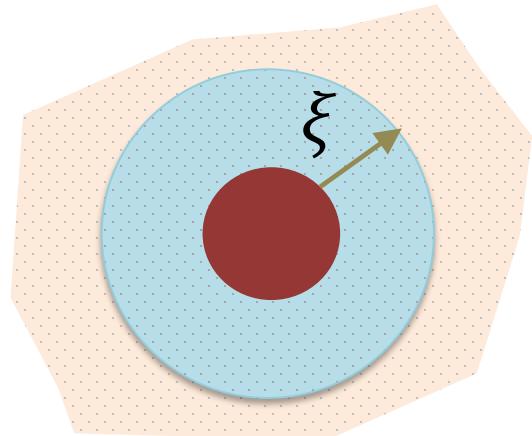
W. D. Roeck, KU Leuven (Unpublished)

Stability of MBL to non-perturbative effects (isolated ergodic bubbles) in higher dimension.



Outline

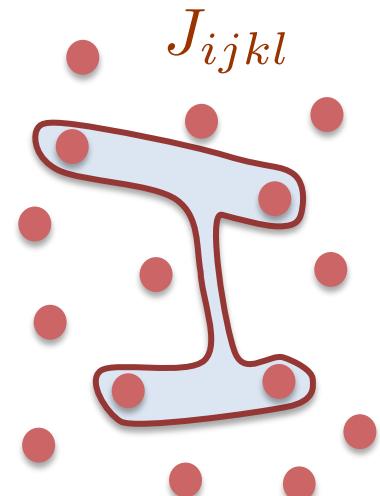
- Sachdev-Ye-Kitaev (SYK) model.
- Solvable model with quantum critical point separating quadratic and SYK fixed points.
→ Fermi liquid to non-Fermi liquid transition.
- Ergodic bubble in an Anderson insulator.
→ Potential solvable model for MBL transition.
- Conclusions and open questions.



Review of SYK model

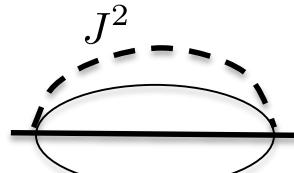
Sachdev & Ye PRL (1993), Kitaev, KITP (2015), Georges & Parcollet PRB (1999)

$$H_{SYK} = \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l$$



- Large- N (disorder averaged) saddle point

$$G^{-1}(\omega) = \cancel{\omega} - \Sigma(\omega)$$



$$\Sigma(\tau) = -J^2 G^2(\tau) G(-\tau)$$

- Conformal symmetry at low-energy ($\omega, T \ll J$)

$$\int d\tau G(\tau, \tau_1) \Sigma(\tau_1, \tau') = -\delta(\tau - \tau')$$

$$\tau = f(\sigma)$$

$$\tilde{G}(\sigma_1, \sigma_2) = [f'(\sigma_1) f'(\sigma_2)]^{1/4} G(f(\sigma_1), f(\sigma_2))$$

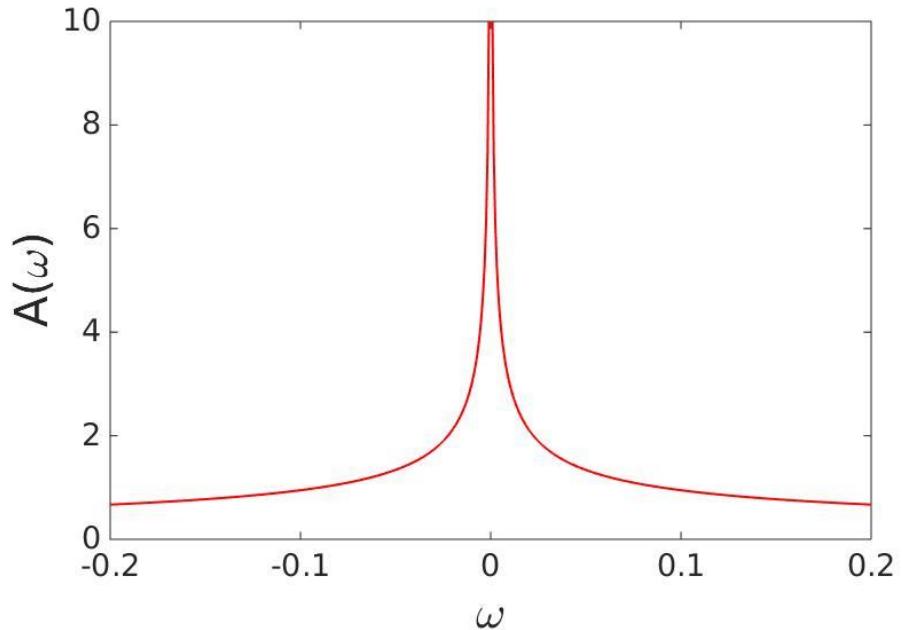
$$f'(\sigma) = \frac{\partial f}{\partial \sigma}$$

- Diverging DOS for $\omega \rightarrow 0$ at $T = 0$

Sachdev, PRX (2015)

$$G(\omega) \sim (\text{sgn}(\omega) - i)/\sqrt{J|\omega|}$$

$$\Sigma(\omega) \sim (-\text{sgn}(\omega) + i)\sqrt{J|\omega|}$$



- Non-Fermi liquid state – Strange metal.
 - Intriguing feature
Extensive ground-state entropy for $T \rightarrow 0$ (for infinite N)
- Quantum chaos and thermalization in SYK model.

Quantum chaos in SYK model

$$H_{SYK} = \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l$$

Kitaev, KITP (2015)
Polchinski & Rosenhaus (2016)
Maldacena & Stanford (2016)

→ Out-of-time-order correlation

$$\langle c_i^\dagger(t) c_i(0) c_j^\dagger(t) c_j(0) \rangle \sim 1 - \left(\frac{\beta J}{N} \right) e^{\lambda_L t}$$

$$\lambda_L = \frac{2\pi}{\beta}$$

→ Scrambling time

$$t_* \sim \frac{1}{\lambda_L} \ln N$$

Fastest possible! Maximally chaotic. Like a black hole.

Upper bound to quantum chaos

Maldacena, Shenker & Stanford (2015)

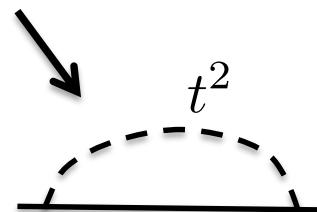
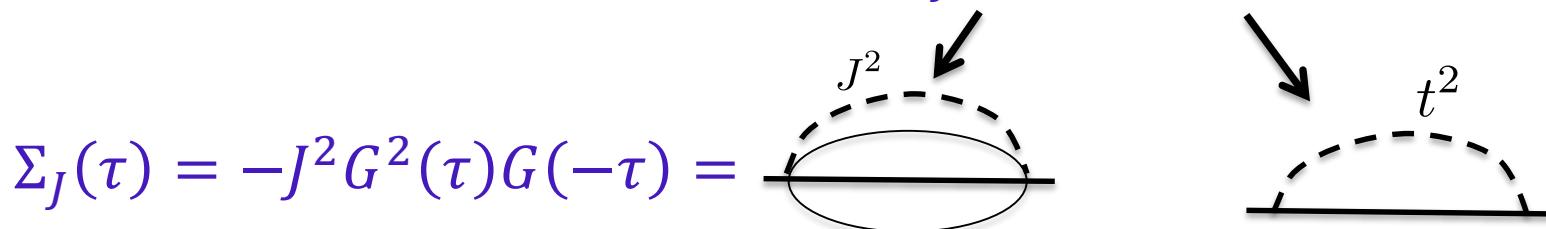
How to drive a phase transition out of this strange-metal
chaotic phase?

Naive attempt: add a quadratic term

$$H_{SYK} = \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l + \frac{1}{\sqrt{N}} \sum_{ij} t_{ij} c_i^\dagger c_j$$

Parcollet & Georges.
(1999)

$$G^{-1}(\omega) = \omega - \Sigma_J(\omega) - t^2 G(\omega)$$



The ansatz $G(\omega) \sim 1/\sqrt{\omega}$ is not self-consistent in the limit $\omega \rightarrow 0$

$$G^{-1}(\omega) \sim \omega - \sqrt{J\omega} - \frac{t^2}{\sqrt{\omega}}$$



The free fermion ansatz is self consistent:

$$G(\omega) \sim -i/t$$



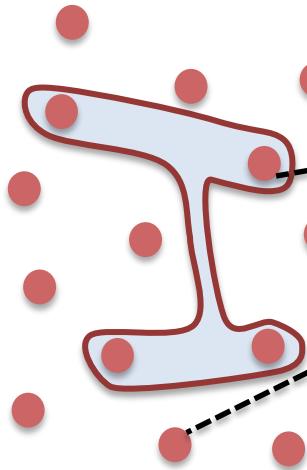
Quadratic interaction is relevant. Always a Fermi liquid.
No transition!

Consider a model with two types of sites

N sites:

SYK coupling

$$\overline{J_{ijkl}^2} = J^2$$

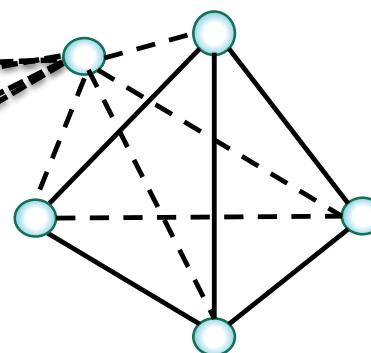


M sites:

Random hopping

$$\overline{V_{i\alpha}^2} = V^2$$

$$\overline{t_{\alpha\beta}^2} = t^2$$



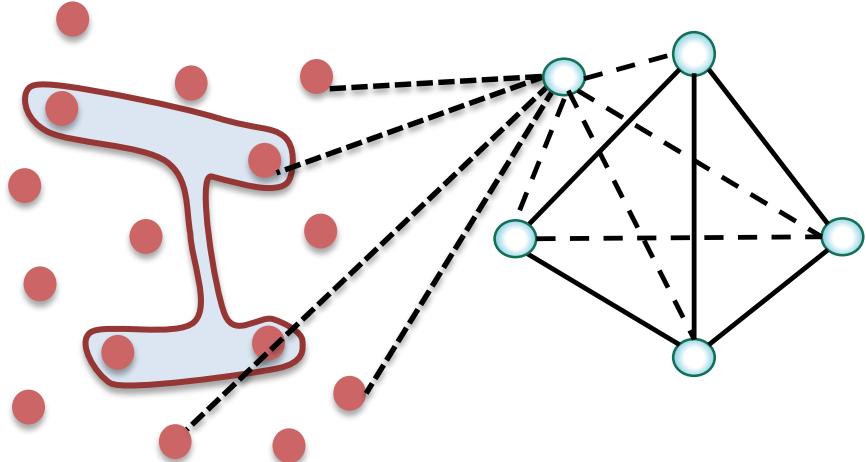
$$H = \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l + \frac{1}{\sqrt{M}} \sum_{\alpha\beta} t_{\alpha\beta} a_\alpha^\dagger a_\beta$$
$$+ \frac{1}{(MN)^{1/4}} \sum_{i\alpha} (V_{i\alpha} c_i^\dagger a_\alpha + h.c.)$$

Physical realization

N sites:

SYK coupling

$$\overline{J_{ijkl}^2} = J^2 \quad \overline{V_{i\alpha}^2} = V^2 \quad \overline{t_{\alpha\beta}^2} = t^2$$

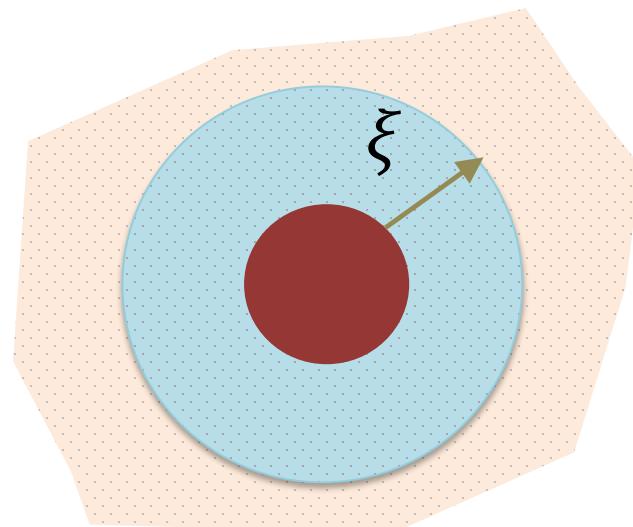


M sites:

Random hopping

Ergodic bubble

in an Anderson insulator



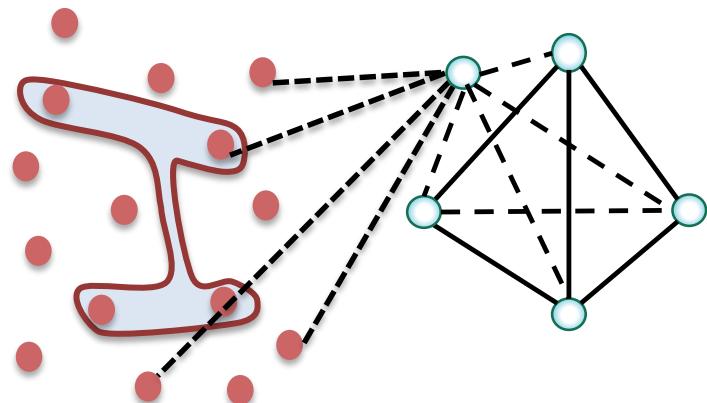
$$M \sim \xi^D$$

$$\begin{aligned} H = & \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l + \frac{1}{\sqrt{M}} \sum_{\alpha\beta} t_{\alpha\beta} a_\alpha^\dagger a_\beta \\ & + \frac{1}{(MN)^{1/4}} \sum_{i\alpha} (V_{i\alpha} c_i^\dagger a_\alpha + h.c.) \end{aligned}$$

Saddle point equations controlled at large M,N

$$H = \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l + \frac{1}{\sqrt{M}} \sum_{\alpha\beta} t_{\alpha\beta} a_\alpha^\dagger a_\beta + \frac{1}{(MN)^{1/4}} \sum_{i\alpha} (V_{i\alpha} c_i^\dagger a_\alpha + h.c.)$$

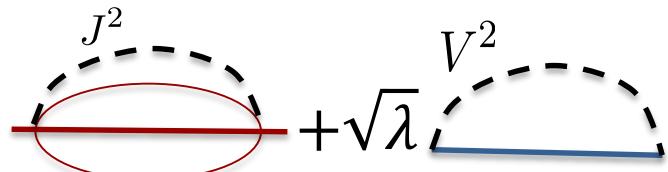
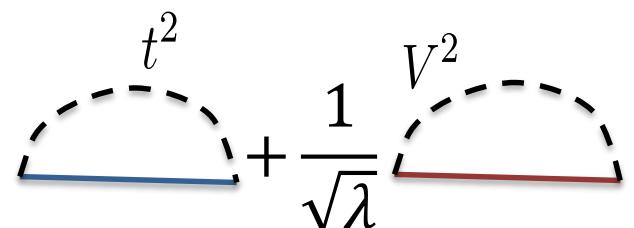
$$\lambda = M/N$$



$$\mathcal{G}^{-1}(\omega) = \omega - t^2 \mathcal{G}(\omega) - \frac{V^2}{\sqrt{\lambda}} G(\omega)$$

$$G^{-1}(\omega) = \omega - \Sigma_J(\omega) - V^2\sqrt{\lambda}\, \mathcal{G}(\omega)$$

$$\Sigma_J(\tau) = -J^2 G^2(\tau) G(-\tau)$$

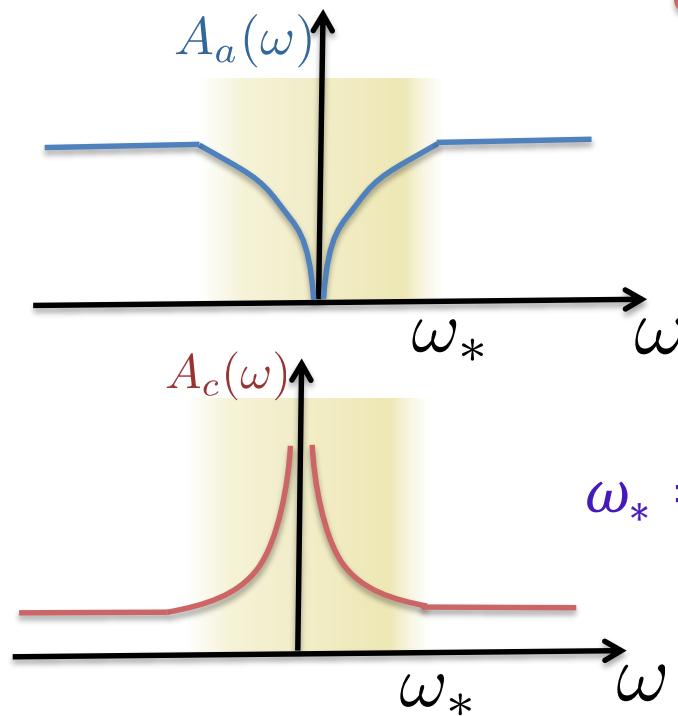


Strange metal phase

→ Solution for $\lambda = \frac{M}{N} < 1$

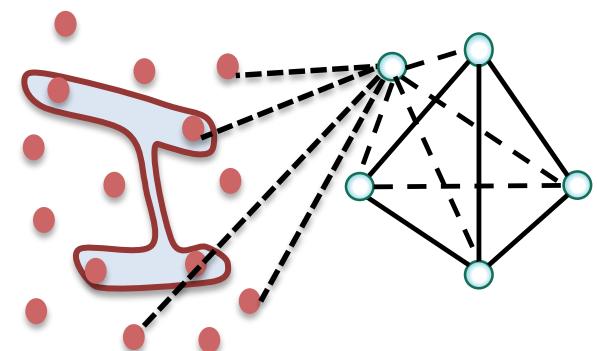
$$\mathcal{G}(\omega) \sim \frac{\lambda}{(1-\lambda)^{1/4}} \frac{\sqrt{J|\omega|}}{V^2}$$

$$G(\omega) \sim \frac{(1-\lambda)^{1/4}}{\sqrt{J|\omega|}}$$



$$\omega_* = \frac{V^4(1-\lambda)^{1/2}}{t^2\lambda^2}$$

Weight and bandwidth of the singularity in G vanishes continuously as $\lambda \rightarrow \lambda_c = 1$

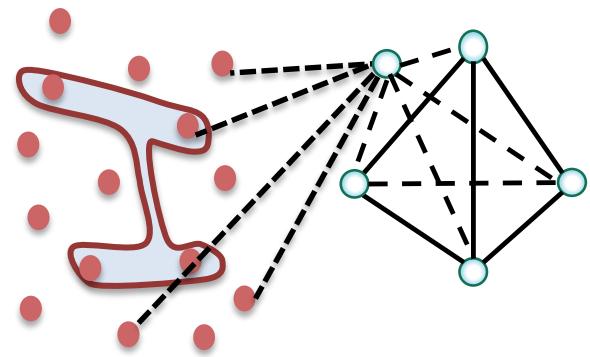


Strange metal phase, $\lambda = M/N < 1$

$$\mathcal{G}^{-1}(\omega) = \omega - t^2 \mathcal{G}(\omega) - \frac{V^2}{\sqrt{\lambda}} G(\omega)$$

$$G^{-1}(\omega) = \omega - \Sigma_J(\omega) - V^2 \sqrt{\lambda} \mathcal{G}(\omega)$$

$$\Sigma_J(\tau) = -J^2 G^2(\tau) G(-\tau)$$



→ Emergent Conformal symmetry for $\omega < \omega_*$

$$\tau = f(\sigma)$$

$$\tilde{G}(\sigma_1, \sigma_2) = [f'(\sigma_1)f'(\sigma_2)]^{1/4} G(f(\sigma_1), f(\sigma_2))$$

$$\tilde{\mathcal{G}}(\sigma_1, \sigma_2) = [f'(\sigma_1)f'(\sigma_2)]^{3/4} \mathcal{G}(f(\sigma_1), f(\sigma_2)) \sim \tilde{\Sigma}_J(\sigma_1, \sigma_2)$$

“Fermi-liquid” phase

- Solution for $\lambda = M/N > 1$

$$g(\omega) \sim -i\lambda\sqrt{\lambda-1} \frac{t}{V^2}$$

$$G(\omega) \sim -i \frac{1}{\sqrt{\lambda-1}} \frac{1}{t}$$

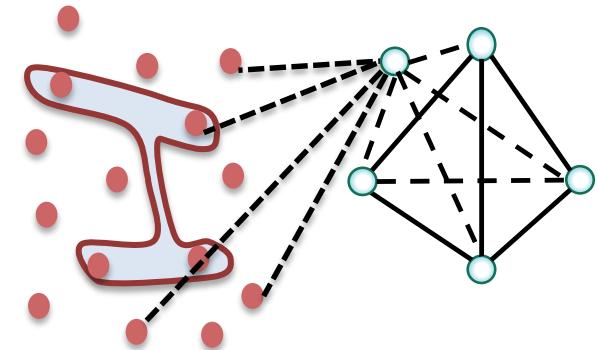
→ Constant DOS for $\omega < \omega_* \approx \left(\frac{V^2}{t\lambda}\right) \sqrt{\lambda-1}$

$$\text{Self-energy, } \text{Im}\Sigma(\omega) \sim -\left(\frac{J^2 t^3}{V^6}\right) \frac{\lambda^3}{(\lambda-1)^{3/2}} \omega^2$$

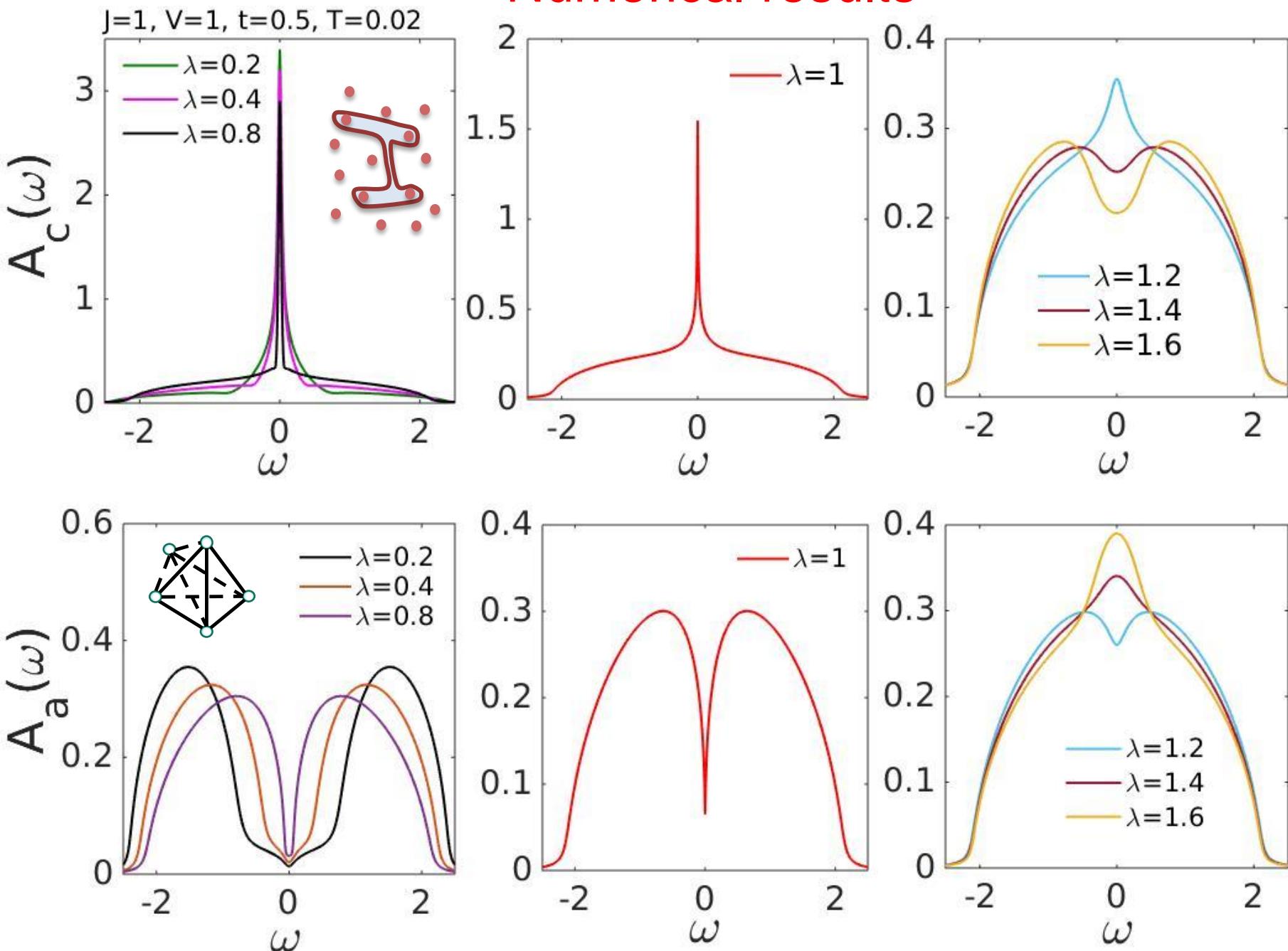
- Free fermion fixed point, emergent conformal symmetry

$$\tilde{G}(\sigma_1, \sigma_2) = [f'(\sigma_1)f'(\sigma_2)]^{1/2} G(f(\sigma_1), f(\sigma_2)) \sim \tilde{G}(\sigma_1, \sigma_2)$$

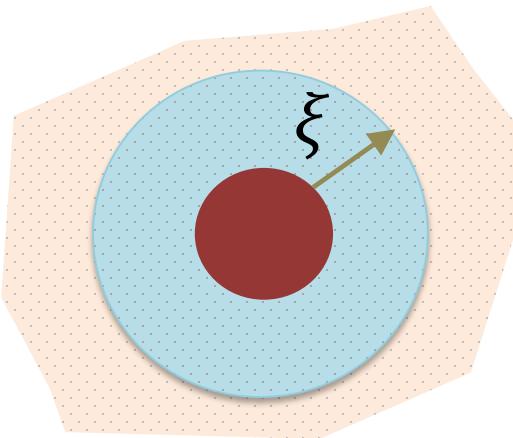
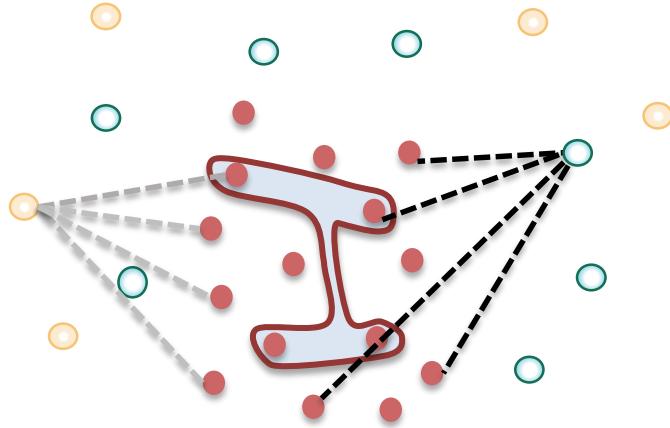
→ Critical point at $\lambda = M/N = 1$ separates a strange metal SYK phase from a “trivial phase”



Numerical results



An ergodic bubble in Anderson insulator



$$H = \frac{1}{(2N)^{3/2}} \sum_{ijkl} J_{ijkl} c_i^\dagger c_j^\dagger c_k c_l + \sum_\alpha \epsilon_\alpha a_\alpha^\dagger a_\alpha + \frac{1}{\sqrt{N}} \sum_{i\alpha} (V_{i\alpha} c_i^\dagger a_\alpha + h.c.)$$

ϵ_α , energies of localized states (“sites”)

Distance r_α from SYK sites.

$$a_\alpha^\dagger = \sum_i \psi_\alpha(i) a_i^\dagger$$

$$\psi_\alpha(r) \sim e^{-r/\xi}$$

$$-W < \epsilon_\alpha < W$$

→ Exponentially decaying coupling

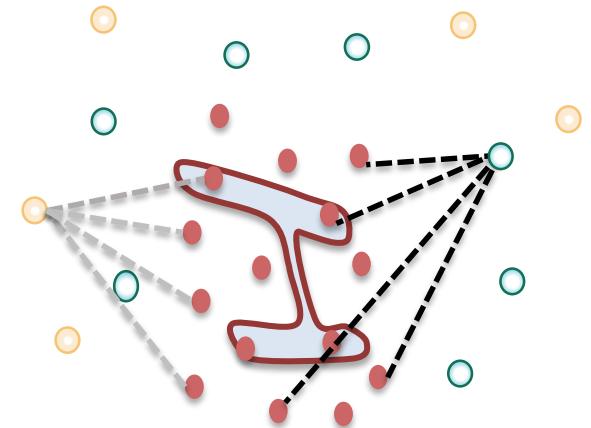
$$\overline{V_{i\alpha}^2} = V_\alpha^2 = V^2 \exp(-r_\alpha/\xi)$$

Large- N Saddle-point equations

Disorder averaging over $J_{ijkl}, V_{i\alpha} \rightarrow$

$$G^{-1}(\omega) = \omega - \Sigma_J(\omega) - \frac{V^2}{N} \sum_{\alpha} e^{-\frac{r_{\alpha}}{\xi}} G_{\alpha}(\omega)$$

$$G_{\alpha}^{-1}(\omega) = \omega - \epsilon_{\alpha} - V^2 e^{-\frac{r_{\alpha}}{\xi}} G(\omega)$$

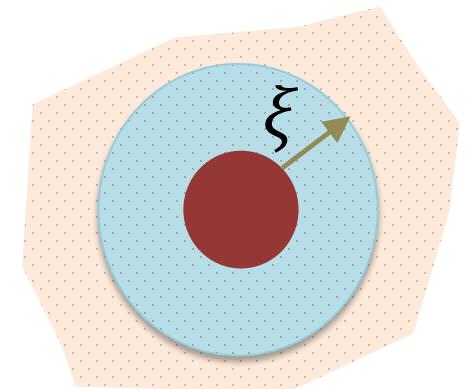


- Saddle-point for fixed $\{\epsilon_{\alpha}\}$ realization.
- Can capture both strong and weak disorder.

How does the ‘bubble’ affect the insulator and *vice versa*?

* A single ‘bubble’ can destabilize the insulator for $D \geq 2$!!!

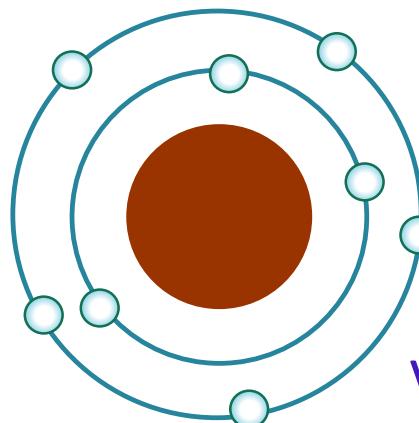
W. D. Roeck, KU Leuven (Unpublished)



→ SYK site spectral function $A_c(\omega) \sim -\text{Im}G(\omega)$

$$V = 0.1, \xi = 2$$

Add M localized sites
to N SYK sites

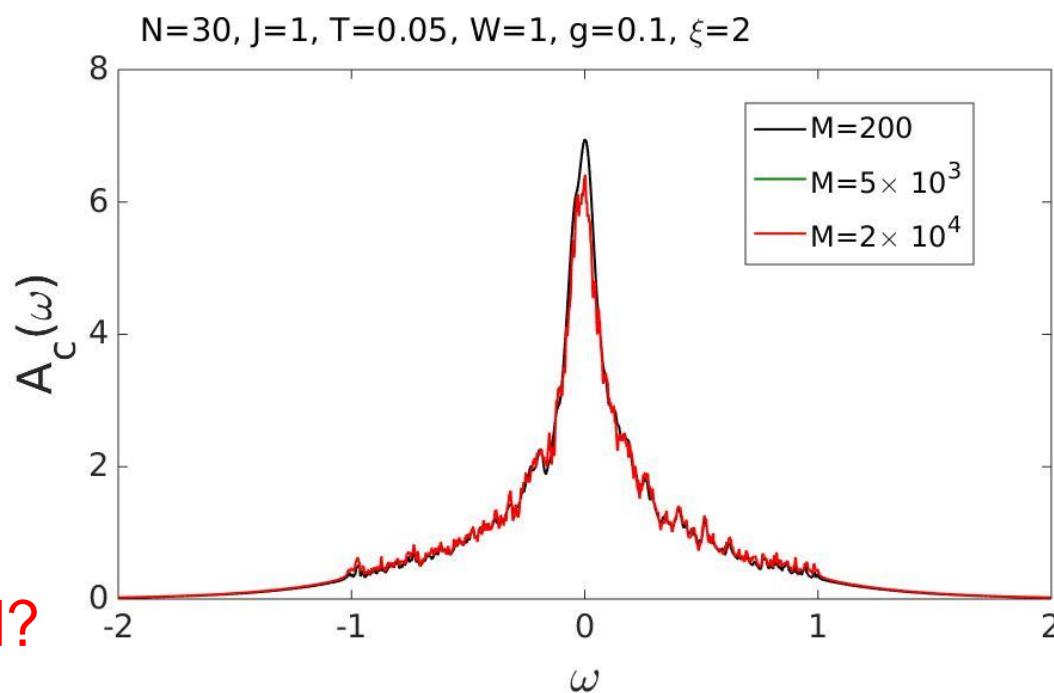
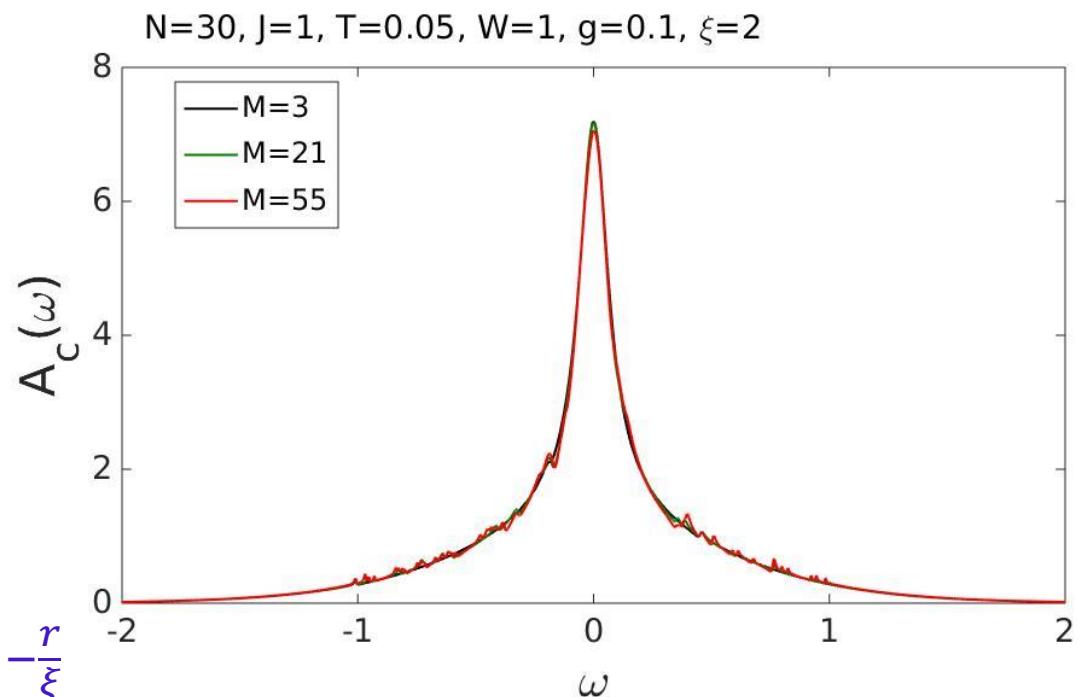


$$V(r) = V e^{-\frac{r}{\xi}}$$

Ergodic bubble
more or less remains
intact.

$$M_\xi \simeq \pi \xi^2 < N
→ \lambda < 1$$

What happens to
the insulator? Delocalized?



Coupling

$$V = 0.1$$

$$\xi = 10$$

Bath is destroyed.

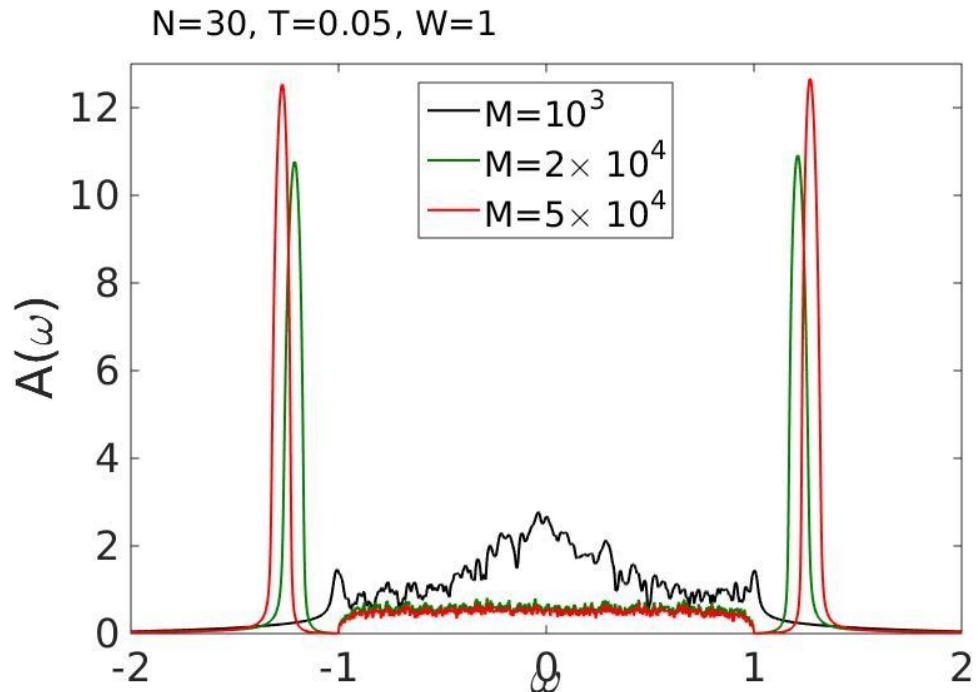
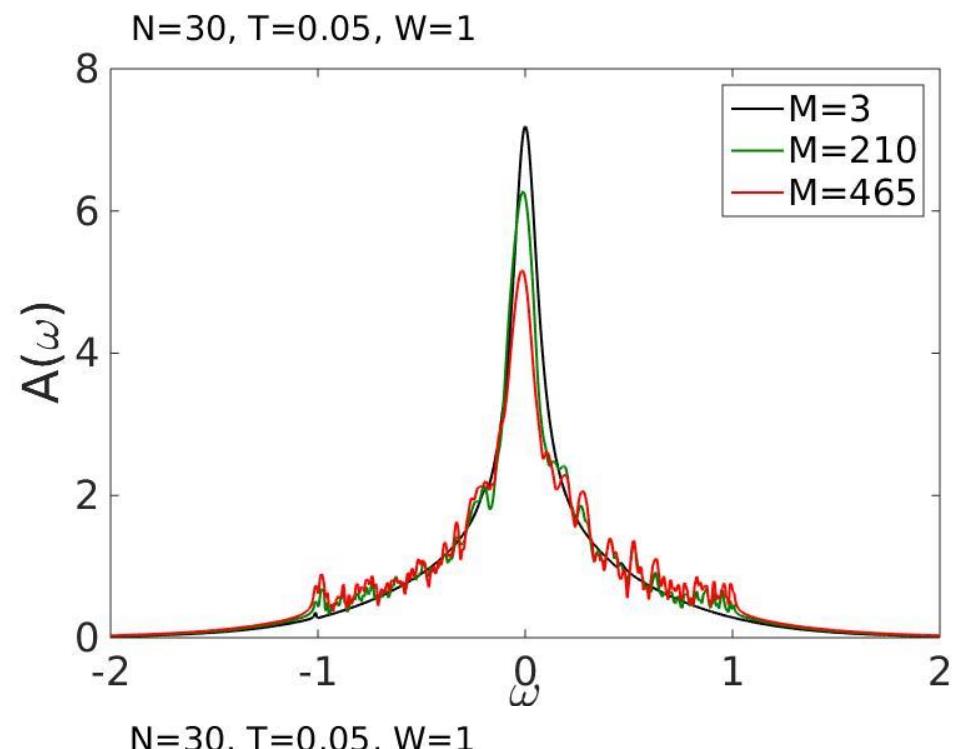
$$M_\xi \simeq \pi \xi^2 > N$$
$$\rightarrow \lambda > 1$$

→ Quadratic fixed point.

Bath is localized??

MBL proximity effect

Nandkishore, PRB (2015)



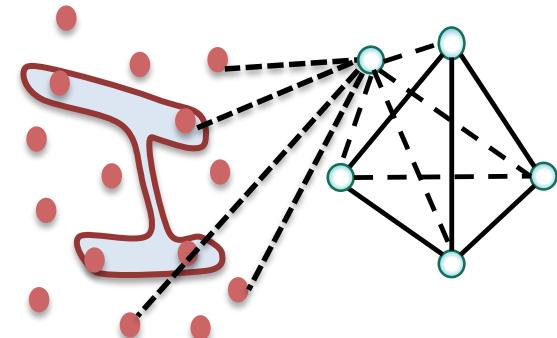
Conclusions

- Solvable model for “Fermi liquid” to strange metal transition.

T=0 critical point
 $\lambda = M/N = \lambda_c = 1$

Strange metal

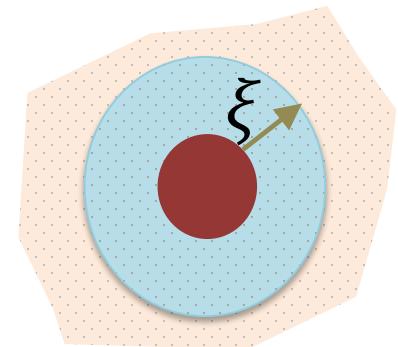
“Trivial” phase



- Non-perturbative effect (ergodic bubble) on MBL.

An ‘ergodic bubble’ might get proximity localized by MBL environment.

→ Not an obstruction to MBL in higher dimension



Many questions remain!

- How does the $T = 0$ (for infinite N) entropy evolve across the transition ?
- Characterize fluctuations around the saddle point.
How does the scrambling time evolve across the transition?
- Transition into a Fermi-liquid ? $1/\tau \sim 1/T^2$
Or a non-ergodic state ? $1/\tau = 0$
- Solvable model for a many-body localization transition?
(Need finite N ?)
- Holographic description? Phase transition involving
emergence of a black hole in AdS_2 ?

Quantum chaos

- Classical chaos

$$\frac{\partial x(t)}{\partial x(0)} \sim e^{\lambda_L t} \quad \lambda_L, \text{ Lyapunov exponent}$$

- Quantum chaos

→ ‘Semiclassical billiards’

Larkin & Ovchinnikov (1969)

- Chaos correlator

$$C(t) = -\langle [x(t), p(0)]^2 \rangle$$

$$\begin{aligned} [x(t), p(0)] &\Rightarrow i\hbar\{x(t), p\} \\ &= i\hbar \frac{\partial x(t)}{\partial x(0)} \end{aligned} \quad \leftarrow \text{Poisson bracket}$$

$$C(t) \sim \hbar^2 e^{2\lambda_L t}$$

‘Scrambling time’

$$t_* \sim \frac{1}{\lambda_L} \ln \left(\frac{1}{\hbar} \right)$$

$$C(t_*) \sim 1$$

Hubbard model bath

Large D Hubbard model with N sites as bath.

$$H_b = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$H_l = \sum_\alpha \epsilon_\alpha a_\alpha^\dagger a_\alpha \quad \epsilon_\alpha \in [-W, W]$$

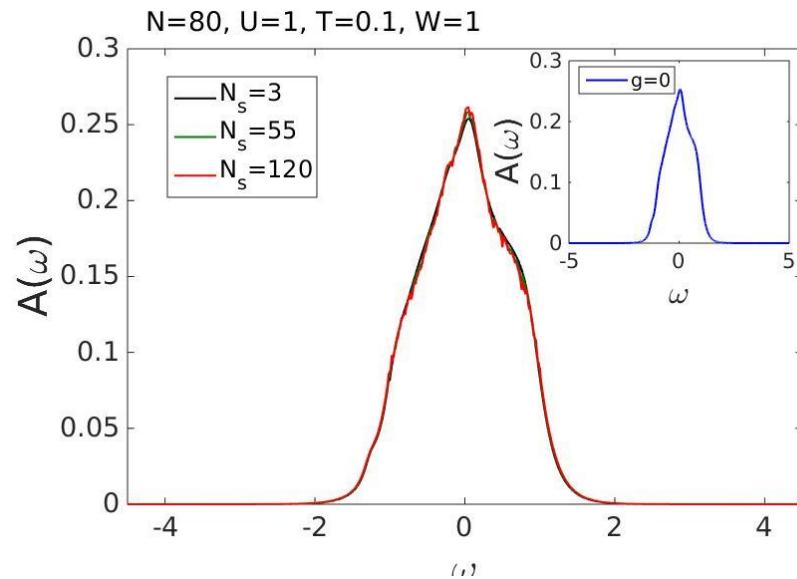
$$H_{bl} = \frac{1}{\sqrt{N}} \sum_{i\alpha\sigma} (V_{i\alpha} c_{i\sigma}^\dagger a_\alpha + h.c.)$$

Solved via single-site dynamical mean field theory (DMFT)
using iterative perturbation theory (IPT) for large D, N

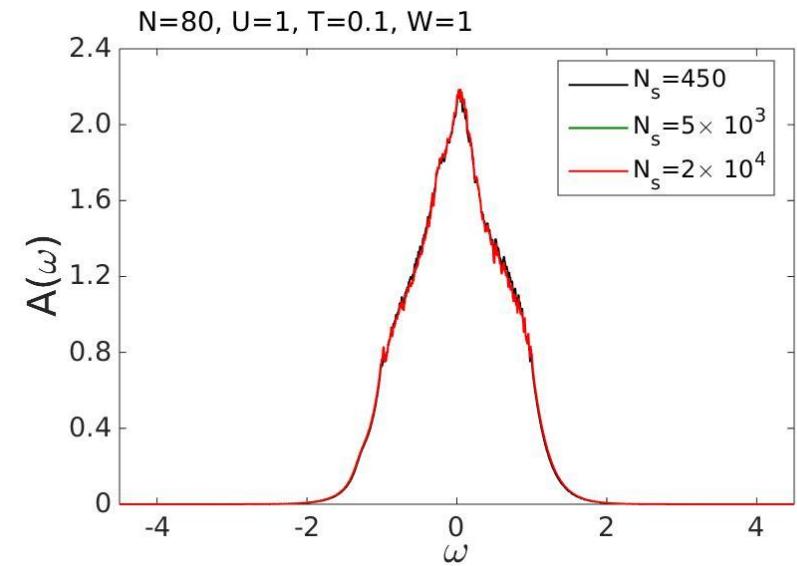
Bath: Large-dimensional Hubbard model with N sites

$g = 0.1$

$N = 80$



Dynamical mean-field theory (DMFT) Large D, N



$g = 1$

